



CONFLICT OF INTEREST DISCLOSURE STATEMENT

The Conflict of Interest Policy of the All Hazards Consortium (“AHC”) requires any director, principal officer, member of a board committee with board delegated powers, or a member of a working or advisory group to disclose any direct or indirect financial or other material interest that he or she has or reasonably expects to have in any proposed or existing contract, transaction, or arrangement with AHC, or in any other matter under consideration or to be considered by the Board of Directors, the Executive Committee, or any other Board Committee.

Please initial each statement that applies to you:

_____ I have read and am familiar with the Conflict of Interest Policy.

_____ I am not aware of any direct or indirect financial or other material interest that is required to be disclosed under the Conflict of Interest Policy.

_____ I have described in the attached form every direct or indirect financial or other material interest or co-investment interest that is required to be disclosed under the Conflict of Interest Policy. (Please complete the attached form or attach a letter providing complete details of any direct or indirect financial or other material interest or co-investment interest subject to the Policy.)

During the time I am a Director, Principal Officer, Board Committee member, or working/advisory group member of AHC, I agree to report promptly any future situation that might involve or appear to involve me or any of my relatives in any potential conflict of interest with AHC.

I am completing this disclosure statement based on the definitions below.

Signature: _____

Date: _____

For the purposes of this Policy, a director, principal officer, member of a board committee with board delegated powers, or member of a working/advisory group has a **direct or indirect financial or other material interest** in a proposed or existing contract, transaction, or arrangement (collectively, “Arrangement”) if he or she, or one of his or her relatives:

- (a) has an ownership or investment interest in any entity with which AHC has a transaction or arrangement; or



- (b) has a compensation arrangement with (or receives gifts that are substantial in nature from) AHC or with any entity or individual with which AHC has a transaction or arrangement, or
- (c) has an ownership or investment interest in, or compensation arrangement with, any entity or individual with which AHC is negotiating a transaction or arrangement.

A director, principal officer, member of a board committee with board delegated powers, or member of a working/advisory group's financial interest will be considered substantial if it involves:

- (a) an ownership or investment interest representing more than 1% of the outstanding shares of a publicly traded company or 5% of the outstanding shares or comparable interest of a privately owned company with which AHC has or is negotiating an Arrangement or which is an involved organization with respect to the Arrangement; or
- (b) an ownership or investment interest, which produces a significant amount of income for or constitutes a significant part of the net worth of the director, officer, or committee member or a relative of such individuals, in any entity with which AHC has or is negotiating an Arrangement or which is an involved organization with respect to the Arrangement; or
- (c) a compensation arrangement of any kind with any entity or individual with which AHC has or is negotiating an Arrangement or with any involved organization with respect to the Arrangement.



CONFLICT OF INTEREST DISCLOSURE STATEMENT FORM

Pursuant To and In Compliance with AHC Conflict of Interest Policy

1. Name of AHC board/committee member:	
2. Title or position with All Hazards Consortium:	
3. This statement is submitted (check one): 3.a. ____ as an <u>annual disclosure statement</u> , of my financial interest connected with any contracts or purchases of the AHC, which are made on a regular basis with or from particular contractors or vendors; or 3.b. ____ as a " <u>single transaction</u> " <u>disclosure statement</u> , of my financial interest in a specific contract or purchase connected with the AHC proposed to be made by the AHC with or from a particular contractor or vendor. <i>NOTE: The phrase "my financial interest," as used in this Disclosure Statement, includes any interest of myself, my spouse, relative or my un-emancipated child(ren).</i>	
4. Name(s) of Contractor(s) or Vendor(s) or Other(s):	



<p>5. Description of Contract(s) or Purchase(s):</p> <p><i>(Describe the kind of contract involved, names of parties involved [other than those disclosed above], the effective date and term of contract or transaction date of purchase if reasonably determinable.</i></p> <p><i>[Dates required if 3(b) is selected above.]</i></p>	
<p>6. Description of My Financial Interest:</p> <p><i>(Describe in what manner you or others expect to derive a profit or financial benefit from, or otherwise has a pecuniary interest in, the above contract(s) or purchase(s). <u>State the approximate dollar value of such profit or benefit.</u></i></p> <p>(Attach extra pages if additional space is needed.)</p>	

Affirmation of Individual:

I affirm, under penalty of perjury, the truth and completeness of the statements made above, and that I am 'the above named public servant.

Signed: _____
(Signature of Board/Committee Member)

Date: _____

Reviewed: _____
(AHC Executive Director)

Date: _____

Reviewed: _____
(AHC Legal)

Date: _____

Copies of the final documents will be maintained by the AHC Program Office.